

UNITED STATES DISTRICT COURT

Northern District of California

San Francisco Division

JIMMIE STEPHEN,

Plaintiff,

v.

CDCR; et al.,

Defendants.

No. C 15-1135 LB

**ORDER TO SHOW CAUSE RE.  
CONTEMPLATED DISMISSAL**

[Re: ECF No. 2]

Jimmie Stephen, an inmate at San Quentin State Prison, has filed this civil rights action under 42 U.S.C. § 1983 and applied to proceed *in forma pauperis* under to 28 U.S.C. § 1915. Mr. Stephen has consented to proceed before a magistrate judge. ECF No. 1 at 4. This matter is now before the court for consideration of Mr. Stephen's *in forma pauperis* application.

A prisoner may not bring a civil action *in forma pauperis* under 28 U.S.C. § 1915 "if the prisoner has, on 3 or more prior occasions, while incarcerated or detained in any facility, brought an action or appeal in a court of the United States that was dismissed on the grounds that it is frivolous, malicious, or fails to state a claim upon which relief may be granted, unless the prisoner is under imminent danger of serious physical injury." 28 U.S.C. § 1915(g). Section 1915(g) requires that this court consider prisoner actions dismissed before, as well as after, the statute's 1996 enactment.

1 *Tierney v. Kupers*, 128 F.3d 1310, 1311-12 (9th Cir. 1997).

2 For purposes of a dismissal that may be counted under § 1915(g), the phrase "fails to state a  
3 claim on which relief may be granted" parallels the language of Federal Rule of Civil Procedure  
4 12(b)(6) and carries the same interpretation, the word "frivolous" refers to a case that is "of little  
5 weight or importance: having no basis in law or fact," and the word "malicious" refers to a case  
6 "filed with the 'intention or desire to harm another.'" *Andrews v. King*, 398 F.3d 1113, 1121 (9th  
7 Cir. 2005) (citation omitted). Only cases within one of these three categories can be counted as  
8 strikes for § 1915(g) purposes, so the mere fact that Mr. Stephen has filed many cases in the federal  
9 courts in California does not alone warrant dismissal under § 1915(g). *See id.* Rather, dismissal of  
10 an action under § 1915(g) should only occur when, "after careful evaluation of the order dismissing  
11 an [earlier] action, and other relevant information, the district court determines that the action was  
12 dismissed because it was frivolous, malicious or failed to state a claim." *Id.*

13 *Andrews* requires that the prisoner be given notice of the potential applicability of § 1915(g), by  
14 either the district court or the defendants, but also requires the prisoner to bear the ultimate burden  
15 of persuasion that § 1915(g) does not bar pauper status for him. *Id.* *Andrews* implicitly allows the  
16 court to *sua sponte* raise the § 1915(g) problem, but requires the court to notify the prisoner of the  
17 earlier dismissals it considers to support a § 1915(g) dismissal and allow the prisoner an opportunity  
18 to be heard on the matter before dismissing the action. *See id.* at 1120. A dismissal under § 1915(g)  
19 means that a prisoner cannot proceed with his action as a pauper under § 1915(g), but he still may  
20 pursue his claims if he pays the full filing fee at the outset of the action.

21 A review of the dismissal orders in Mr. Stephen's prior prisoner actions and appeals reveals that  
22 he has had at least three such actions or appeals dismissed on the grounds that they were frivolous,  
23 malicious, or failed to state a claim upon which relief may be granted. The qualifying cases include:  
24 (1) *Stephen v. Silverman*, N. D. Cal. Case No. C 10-496 SI (dismissed as frivolous); (2) *Stephen v.*  
25 *Reinhardt*, N. D. Cal. Case No. C 10-349 SI (dismissed as frivolous); (3) *Stephen v. Zulfacur*, S. D.  
26 Cal. Case No. 93cv1943 R (RBB) (dismissed for failure to state a claim upon which relief may be  
27 granted); (4) *Stephen v. Hernandez*, S. D. Cal. Case No. 06cv0171 L (WMc) (dismissed for failure to  
28 state a claim upon which relief may be granted); (5) *Stephen v. Shelar*, S. D. Cal. Case No.


1 06cv1054 LAB (WMc) (dismissed for failure to state a claim); (6) *Stephen v. Marshal*, C. D. Cal.  
2 No. 07-cv-5337-UA-SH (dismissed because action was "legally and/or factually patently frivolous"  
3 and for other reasons); and (7) *Stephen v. Hoxie*, C. D. Cal. No. 08-cv-3260-SH (dismissal for failure  
4 to state a claim).

5 In light of these dismissals, and because it does not appear that Mr. Stephen was under imminent  
6 danger of serious physical injury when he filed the complaint, Mr. Stephen is ORDERED TO  
7 SHOW CAUSE in writing filed no later than **April 17, 2015**, why *in forma pauperis* should not be  
8 denied and this action should not be dismissed pursuant to 28 U.S.C. § 1915(g). In the alternative to  
9 showing cause why the action should not be dismissed, Mr. Stephen may avoid dismissal by paying  
10 the full \$400.00 filing fee by the deadline.

11 Mr. Stephen also sent to the court a letter in which he asked for a copy of his complaint filed in  
12 another action and his complaint filed in this action. ECF No. 4. The clerk will send him a  
13 photocopy of his complaint in this action. In the future, Mr. Stephen must make a photocopy of any  
14 document he files before he files it. If Mr. Stephen wants photocopies of documents filed in another  
15 action, he needs to complete and submit a photocopy order form, a copy of which the clerk will send  
16 to him. In that same letter, Mr. Stephen asked for forms for a waiver of service. If he wants those  
17 forms for use in another action, he should make a request in that action. It is premature to determine  
18 whether he needs those forms for this action, as the § 1915(g) issue must be decided first.

19 **IT IS SO ORDERED.**

20 Dated: March 13, 2015

21   
22 LAUREL BEELER  
23 United States Magistrate Judge  
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